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PTO/SB/21 (08-00)

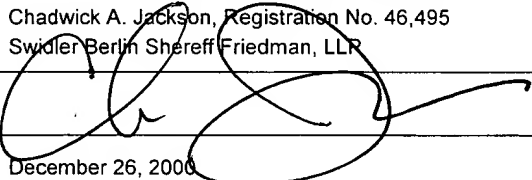
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) DEC 26 2000	<b>Application Number</b>	09/675,155	
	<b>Filing Date</b>	September 29, 2000	
	<b>First Named Inventor</b>	Elizabeth Murphy	
	<b>Group Art Unit</b>	3713	
	<b>Examiner Name</b>	TBA	
<b>Total Number of Pages in This Submission</b>	240	<b>Attorney Docket Number</b>	19046.0001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Statement Claiming Small Entity Status <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-1449 and 7 references
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Chadwick A. Jackson, Registration No. 46,495 Swidler Berlin Shereff Friedman, LLP
Signature	
Date	December 26, 2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Murphy et al.

Application No. 09/675,155

Filed: September 29, 2000

For: METHOD AND APPARATUS FOR  
INTERNET BASED MANAGEMENT  
OF COMPLIANCE DISTRIBUTION  
AND TRAINING

: Art Unit: 3713

: Examiner: TBA



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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

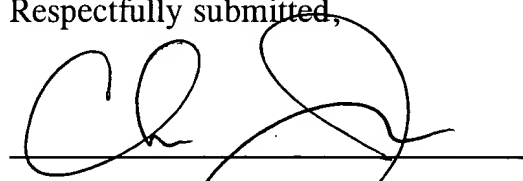
Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

These documents are being submitted before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due).

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127, Order No. 19046.0001. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'C. A. Jackson', written over a horizontal line.

Chadwick A. Jackson, Reg. No. 46,495  
Swidler Berlin Shereff Friedman, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007-5116

Date: 12-26-00

## FORM PTO-1449

Attorney Docket:

Application No.:

19046.0001

09/675,155

INFORMATION DISCLOSURE CITATION

Applicant:

Examiner:

Murphy et al.

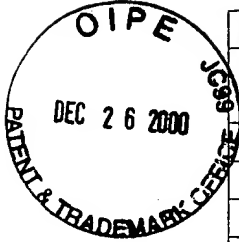
TBA

Filing Date:

Group Art Unit:

September 29, 2000

3713



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date
<i>JCH</i>	5,535,422	7/9/96	Chiang et al.	<del>395</del>	<del>155</del>	10/20/1994
<i>JCH</i>	5,810,747	9/22/98	Brudny et al.	<del>600</del>	<del>595</del>	8/21/1996
<i>JCH</i>	5,825,355	10/20/98	Palmer et al.	<del>345</del>	<del>336</del>	1/27/1993
<i>JCH</i>	5,870,744	2/9/99	Sprague	<del>707</del>	<del>9</del>	6/30/1997
<i>JCH</i>	6,014,134	1/11/00	Bell et al.	<del>345</del>	<del>329</del>	8/23/1996
<i>JCH</i>	6,067,084	5/23/00	Fado et al.	<del>345</del>	<del>326</del>	10/29/1997
<i>JCH</i>	6,073,119	6/6/00	Bornemisza-Wahr et al.	<del>705</del>	<del>42</del>	12/3/1997

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation Yes/No

## OTHER DOCUMENTS: (Including Author, Title, Date, etc.)


Examiner

Date Considered

Examiner. Initial if reference consider, whether or not citation is in conformance with MPEP, §609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Application

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